Express Mail Label No.: EV 328701925 US Date of Deposit: October 17, 2003

Attorney Docket No.: 22435-004

OCT 1 7 2003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Wei Gao

SERIAL NUMBER:

09/874,501

EXAMINER:

James A. Menefee

FILING DATE:

June 5, 2001

ART UNIT:

2828

For:

POWERPACK LASER DIODE ASSEMBLIES

# **Mail Stop IDS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Copies of Cited References: B1-2; C1-5; and
- 4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 22435-004. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Brett N. Dorny, Reg.No. 35860

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

Address all written correspondence to

Tel: (617) 542-6000

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October 17, 2003

TRA 1843366v1

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/us01/18686 that corresponds to the above-identified application. Accordingly, no fee or certification is believed due.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS:

Wei Gao

U.S.S.N.:

09/874,501

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No.: 22435-004.

Respectfully submitted,

Brett N. Dorny, Reg. No. 35,860

Attorney for Applicants
MINTZ, LEVIN, COHN, FERRIS,

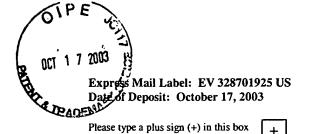
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Dated: October 17, 2003

TRA 1843364v1



Page 1 of 1 Attorney Docket No.: 22435-004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)						Application Number	09/874,	09/874,501			
						Filing Date	June 5,	June 5, 2001 Wei Gao 2828 James A. Menefee			
						First Named Inventor	Wei Ga				
						Group Art Unit	2828				
						Examiner Name	James /				
						Attorney Docket Number	22435-0	22435-004			
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	C1	Lee, et al., "The Effect of Bonding Wires on Longitudinal Temperature Profiles of Laser Diodes", Journal of Lightwave Technology, 14(8): 1847-1852 (1996).									
	Grabherr, et al., "Bottom-Emitting VCSEL's for High-CW Optical Output Power", <i>IEEE Photonics Technology Letters</i> , 10(8): 1061-1063 (1998).										
	СЗ	Patent Abstracts of Japan, Furukawa Electric Co. Ltd., Abstract of JP 09-138326, 1997.									
	C4	Patent Abstracts of Japan, NEC Corp., Abstract of JP 02-164089, 1990.									
	C5	Intern	ational S	earch Repor	t for PC	T/US01/18686 mailed on July 17	, 2003.				
A copy of	this refo					ly cited by or submitted to the office in ied upon for an earlier filing date under					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

Examiner

Signature

continuation-in-part, and divisional applications).